



Docket No. END-5122

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Paul G. Ritchie et al.

Serial No. : 10/721,111

Art Unit:

Filed : November 25, 2003

Examiner:

For : ENERGY DELIVERY DEVICE WITH SELF-HEAT CALIBRATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450 on

December 19 2003  
(Date of Deposit)

Linda F. Hansen  
(Name of applicant, person signing, assignee, or Registered Representative)

*Linda F. Hansen*  
(Signature)

December 19 2003

(Date of Signature)

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on November 25, 2003.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Statement in Accordance with §1.97(e)  
(attached); or

☐ Please charge Deposit Account No. 10-  
0750/ / the fee of \$180.00 as set forth  
in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the non-US patent references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed .

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are. listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/END 5122/GSG.

Respectfully submitted,

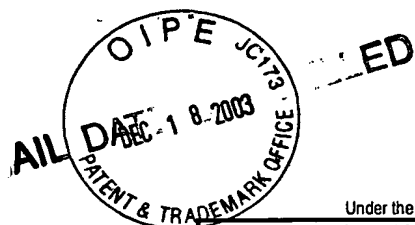


Gerry S. Gressel

34,3432

Attorney for Applicants

Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003  
(513) 337-3535  
DATED: December 19, 2003



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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	
Filing Date	November 25, 2003
First Named Inventor	Paul Ritchie et al.
Group Art Unit	Not assigned
Examiner Name	Not assigned
Attorney Docket Number	END-5122

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,822,997		Fuller et al.	04-18-1989	ALL
		5,196,005		Doiron et al	03-23-1993	ALL
		5,267,995		Doiron et al	12-07-1993	ALL
		5,269,777		Doiron et al.	12-14-1993	ALL
		5,303,324		Lundahl	04-12-1994	ALL
		5,337,381		Biswas et al.	08-09-1994	ALL
		5,363,458		Pan et al.	11-08-1994	ALL
		5,383,874		Jackson et al.	01-24-1995	ALL
		5,400,267		Denen et al.	03-21-1995	ALL
		5,487,386		Wakabayashi et al.	01-30-1996	ALL
		5,651,780		Jackson et al.	07-29-1997	ALL
		5,749,871		Hood et al.	05-12-1998	ALL
		5,978,541		Doiron et al.	11-02-1999	ALL
		5,991,355		Dahlke	11-23-1999	ALL
						ALL

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. 1	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
		EP	0 951 921	A3	Ritchie et al.	03-05-2000	ALL	
		WO	02/35264	A1	Swayze et al.	05-02-2002	ALL	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



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Approved for use through 10/31/2002. OMB 0651-0031  
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

<b>Application Number</b>	
<b>Filing Date</b>	November 25, 2003
<b>First Named Inventor</b>	Paul Ritchie et al.
<b>Group Art Unit</b>	Not assigned
<b>Examiner Name</b>	Not assigned
<b>Attorney Docket Number</b>	END-5122

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		Number	Kind Code <sup>2</sup> (if known)			
		6,237,604	B1	Burnside et al.	05-29-2001	ALL
		6,361,530	B1	Mersch	03-26-2002	ALL
		6,387,092	B1	Burnside et al.	05-14-2002	ALL
		US 2002/0120262	A1	Bek et al.	08-29-2002	ALL
		6,464,689	B1	Qin et al.	10-15-2002	ALL
		US 2002/0198519	A1	Qin et al.	12-26-2002	ALL
		US 2003/0118302	A1	James, IV et al.	06-26-2003	ALL
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### FOREIGN PATENT DOCUMENTS

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		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
							ALL	
							ALL	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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